

24



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/760,543	01/16/2001	Mark L. Wrigley	00R2E101	4948

20790 7590 07/06/2005

AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.  
300 WEST 6TH STREET  
SUITE 2100  
AUSTIN, TX 78701

EXAMINER

HAMILTON, LALITA M

ART UNIT	PAPER NUMBER
----------	--------------

3624

DATE MAILED: 07/06/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 09/760,543	<b>Applicant(s)</b> WRIGLEY, MARK L.	
	<b>Examiner</b> Lalita M. Hamilton	<b>Art Unit</b> 3624	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 16 January 2001.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
 Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
 Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

Art Unit: 3624

## DETAILED ACTION

### *Claim Rejections - 35 USC § 102*

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1, 3-8, 16, and 18-20 are rejected under 35 U.S.C. 102(e) as being anticipated by Francis (6,772,131).

Francisi discloses method and corresponding system for a trade finance comprising a legacy system that is operable to generate structured legacy system information having a format and having financial data corresponding to a first currency standard a middle-ware application layer, communicatively coupled to the legacy system, that is operable to receive the legacy system report from the legacy system via electronic printing thereby generating a legacy system e-report; and wherein the middle-ware application layer employs a profile to perform mark-up processing on selected portions of the legacy system e-report to generate a modified legacy system e-report, and the modified source e-report comprising financial data corresponding to a second currency standard (col.3, line 30 to col.4, line 11; col.4, line 45 to col.5, line 5; col.11, line 53 to col.13, line 10; col.13, lines 50-60; and col.14, line 64 to col.15, line 20); the middle-ware

Art Unit: 3624

application layer further comprises a burster and the burster comprises the profile (col.3, line 30 to col.4, line 11; col.4, line 45 to col.5, line 5; col.11, line 53 to col.13, line 10; col.13, lines 50-60; and col.14, line 64 to col.15, line 20); the burster is operable to parse the legacy system e-report into at least one of a plurality of sub-e-reports and a plurality of sub-portions (col.3, line 30 to col.4, line 11; col.4, line 45 to col.5, line 5; col.11, line 53 to col.13, line 10; col.13, lines 50-60; and col.14, line 64 to col.15, line 20); at least one additional legacy system that is operable to generate at least one additional legacy system report having at least one additional format and having financial data corresponding to a third currency standard, the middle-ware application layer is also communicatively coupled to the at least one additional legacy system and is operable to receive the at least one additional legacy system report from the at least one additional legacy system via electronic printing thereby generating at least one additional legacy system e-report, and wherein the middle-ware application layer employs at least one additional profile to perform mark-up processing on selected portions of the at least one additional legacy system e-report to generate at least one additional modified legacy system e-report; and the modified source e-report comprising financial data corresponding to a fourth currency (col.3, line 30 to col.4, line 11; col.4, line 45 to col.5, line 5; col.11, line 53 to col.13, line 10; col.13, lines 50-60; and col.14, line 64 to col.15, line 20); the profile and the at least one additional profile are substantially a common profile (col.3, line 30 to col.4, line 11; col.4, line 45 to col.5, line 5; col.11, line 53 to col.13, line 10; col.13, lines 50-60; and col.14, line 64 to col.15, line 20); the

Art Unit: 3624

second currency standard and the fourth currency standard both comprise a common currency standard (col.3, line 30 to col.4, line 11; col.4, line 45 to col.5, line 5; col.11, line 53 to col.13, line 10; col.13, lines 50-60; and col.14, line 64 to col.15, line 20); and modified legacy system e-report is generated during a predetermined transition period from the first currency standard to the second currency standard (col.3, line 30 to col.4, line 11; col.4, line 45 to col.5, line 5; col.11, line 53 to col.13, line 10; col.13, lines 50-60; and col.14, line 64 to col.15, line 20).

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 2, 9-15, and 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Francis in view of Burkwald (6,356,285).

Art Unit: 3624

Francis discloses the invention substantially as claimed; however, Francis does not disclose the second currency standard comprising a Euro. Burkwald teaches a method and corresponding system for information processing comprising Euro currency (col.4, line 28 to col.5, line 38). It would have been obvious to one having ordinary skill in the art at the time the invention was made to incorporate Euro currency, as taught by Burkwald into the invention disclosed by Francis, as an alternative form of currency used.

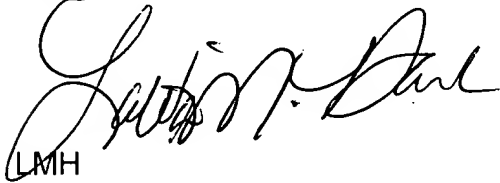
### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lalita M. Hamilton whose telephone number is (571) 272-6743. The examiner can normally be reached on Tuesday-Thursday (8:30-4:30).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Vincent Millin can be reached on (571) 272-6747. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Art Unit: 3624

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



LMH